



**RP - Sanjiv Goenka  
Group**

Growing Legacies



SRL:SEC:SE:2019-20/93

18 October, 2019

The Manager  
Listing Department,  
National Stock Exchange of India Limited  
**(Symbol: SPENCERS)**  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G-Block  
Bandra-Kurla Complex  
Bandra (East),  
Mumbai – 400 051

The General Manager  
Department of Corporate Service,  
BSE Limited  
**(Scrip Code: 542337)**  
Phiroze Jeejeebhoy Tower  
Dalal Street  
Mumbai – 400 001

The Secretary  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata – 700 001

Dear Sir,

**Sub: Shareholding pattern for the quarter ended 30 September, 2019**


Pursuant to Regulation 31 of SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, we are enclosing herewith the shareholding pattern for the quarter ended on 30th September, 2019.

This is for your information and record.

Thanking you,

Yours Faithfully

For Spencer's Retail Limited

  
Rama Kant  
Company Secretary  
(FCS 4818)



**Spencer's Retail Limited**

(Formerly Known as RP-SG Retail Limited)

Regd. Office: Duncan House, 1st Floor, 31, Netaji Subhas Road, Kolkata-700 001

Tel: +91 33 6625 7600 Web: [www.spencersretail.com](http://www.spencersretail.com)

CIN: L74999WB2017PLC219355

**Spencer's Retail Limited**  
**(Formerly known as RP-SG Retail Limited)**

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1	Name of Listed Entity: Spencer's Retail Limited		
2	Scrip Code/Name of Scrip/Class of Security: 542337 (BSE) SPENCERS(NSE)		
3	Share Holding Pattern Filed under: Reg. 31(1)(b)		
For the Quarter Ended 30 September 2019			
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		
SI No.	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

  
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**Spencer's Retail Limited**
**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including Warrants)	ing, as a % assuming full conversion of convertible securities (as a % of (A+B+C))	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI) =	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	13	43508904	0	0	43508904	54.7046	43508904	0	43508904	54.7046	0	0	0	0	0	43508904	
(B)	Public	51111	36025322	0	0	36025322	45.2954	36025322	0	36025322	45.2954	0	0	NA	NA	0	35214276	
(C)	Non Promoter - Non Public					0				0				0	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0	
	<b>Total</b>	<b>51124</b>	<b>79534226</b>	<b>0</b>	<b>0</b>	<b>79534226</b>	<b>100</b>	<b>79534226</b>	<b>0</b>	<b>79534226</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>78723180</b>	

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**Spencer's Retail Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including securities)	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) =	(XII)	(XIII)	(XIV)			
<b>1 Indian</b>																		
<b>(a) Individuals / Hindu Undivided Family</b>		5	170530	0	0	170530	0.2144	170530	0	170530	0.2144	0	0.2144	0	0	0	0	170530
Sanjiv Goenka	AEFPG4689G	1	80876	0	0	80876	0.1017	80876	0	80876	0.1017	0	0.1017	0	0	0	0	80876
Shashwat Goenka	AOUPG0553L	1	66844	0	0	66844	0.084	66844	0	66844	0.084	0	0.084	0	0	0	0	66844
Preeti Goenka	AEFPG4690B	1	15133	0	0	15133	0.019	15133	0	15133	0.019	0	0.019	0	0	0	0	15133
Sanjiv Goenka Huf	AAFHS9493E	1	7377	0	0	7377	0.0093	7377	0	7377	0.0093	0	0.0093	0	0	0	0	7377
Avarna Jain	AHFGP0255P	1	300	0	0	300	0.0004	300	0	300	0.0004	0	0.0004	0	0	0	0	300
<b>(b) Central Government / State Government(s)</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>(c) Financial Institutions / Banks</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>(d) Any Other (Specify)</b>		8	4338374	0	0	4338374	54.4902	4338374	0	4338374	54.4902	0	54.4902	0	0	0	0	4338374
<b>Bodies Corporate</b>		8	4338374	0	0	4338374	54.4902	4338374	0	4338374	54.4902	0	54.4902	0	0	0	0	4338374
Rainbow Investments Limited	AAACR3915Q	1	38032979	0	0	38032979	47.8196	38032979	0	38032979	47.8196	0	47.8196	0	0	0	0	38032979
Steel Holdings Limited	AADC53332H	1	1496082	0	0	1496082	1.8811	1496082	0	1496082	1.8811	0	1.8811	0	0	0	0	1496082
Castor Investments Limited	AAFCC9163J	1	1200584	0	0	1200584	1.5095	1200584	0	1200584	1.5095	0	1.5095	0	0	0	0	1200584
Phillips Carbon Black Limited	AABCP5762E	1	1011718	0	0	1011718	1.2721	1011718	0	1011718	1.2721	0	1.2721	0	0	0	0	1011718
Saregama India Limited	AAACT9815B	1	755992	0	0	755992	0.9505	755992	0	755992	0.9505	0	0.9505	0	0	0	0	755992
Integrated Coal Mining Limited	AAACI5584L	1	645218	0	0	645218	0.8112	645218	0	645218	0.8112	0	0.8112	0	0	0	0	645218
Kolkata Metro Networks Limited	AAABCR2354Q	1	171000	0	0	171000	0.215	171000	0	171000	0.215	0	0.215	0	0	0	0	171000
Dotex Merchandise Private Limited	AAACD9470A	1	24801	0	0	24801	0.0312	24801	0	24801	0.0312	0	0.0312	0	0	0	0	24801
<b>Sub Total (A)(1)</b>		13	43508904	0	0	43508904	54.7046	43508904	0	43508904	54.7046	0	54.7046	0	0	0	0	43508904
<b>2 Foreign</b>																		
<b>(a) Individuals (Non-Resident Individuals / Foreign Individuals)</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>(b) Government</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>(c) Institutions</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>(d) Foreign Portfolio Investor</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>(e) Any Other (Specify)</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Sub Total (A)(2)</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b>		13	43508904	0	0	43508904	54.7046	43508904	0	43508904	54.7046	0	54.7046	0	0	0	0	43508904

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**Spencer's Retail Limited**

**Annexure to Table II- Details of Shares which remain unclaimed for Promoter & Promoter Group**

Details of Shares which remain unclaimed for Promoter & Promoter Group				
Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
NIL				



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**Spencer's Retail Limited**

**Table III - Statement showing shareholding pattern of the Public shareholder**

1	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including securities)	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									Class eg: X	Class eg: Y	Total			Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)		
<b>1</b>	<b>Institutions</b>																		
(a)	Mutual Fund		14	4998556	0	0	4998556	6.2848	4998556	0	4998556	6.2848	0	6.2848	0	0	NA	NA	4996915
	Canara Robeco Mutual Fund A/C Canara Robeco	AAATC3901G	1	2680431	0	0	2680431	3.3702	2680431	0	2680431	3.3702	0	3.3702	0	0	NA	NA	2680431
	Reliance Capital Trustee Company Limited A/C Re	AAATR0090B	1	816870	0	0	816870	1.0271	816870	0	816870	1.0271	0	1.0271	0	0	NA	NA	816870
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c)	Alternate Investment Funds		2	1444650	0	0	1444650	1.8164	1444650	0	1444650	1.8164	0	1.8164	0	0	NA	NA	1444650
	Eq India Fund	AAATE7835M	1	820000	0	0	820000	1.031	820000	0	820000	1.031	0	1.031	0	0	NA	NA	820000
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(e)	Foreign Portfolio Investor		52	4907572	0	0	4907572	6.1704	4907572	0	4907572	6.1704	0	6.1704	0	0	NA	NA	4903852
	India Insight Value Fund	AACCI6838F	1	1800000	0	0	1800000	2.2632	1800000	0	1800000	2.2632	0	2.2632	0	0	NA	NA	1800000
	Matthews Asia Small Companies Fund	AACTM1751A	1	898315	0	0	898315	1.1295	898315	0	898315	1.1295	0	1.1295	0	0	NA	NA	898315
(f)	Financial Institutions / Banks		21	624391	0	0	624391	0.7851	624391	0	624391	0.7851	0	0.7851	0	0	NA	NA	619706
(g)	Insurance Companies		10	1959501	0	0	1959501	2.4637	1959501	0	1959501	2.4637	0	2.4637	0	0	NA	NA	1956111
	Life Insurance Corporation Of India	AAACL0582H	1	1326769	0	0	1326769	1.6682	1326769	0	1326769	1.6682	0	1.6682	0	0	NA	NA	1326769
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(i)	Any Other (Specify)		5	9598	0	0	9598	0.0121	9598	0	9598	0.0121	0	0.0121	0	0	NA	NA	568
	Foreign Bank		5	9598	0	0	9598	0.0121	9598	0	9598	0.0121	0	0.0121	0	0	NA	NA	568
	<b>Sub Total (B)(1)</b>		<b>104</b>	<b>13944268</b>	<b>0</b>	<b>0</b>	<b>13944268</b>	<b>17.5324</b>	<b>13944268</b>	<b>0</b>	<b>13944268</b>	<b>17.5324</b>	<b>0</b>	<b>17.5324</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>	<b>13921802</b>
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																		
	Central Government / State Government(s)		2	6301	0	0	6301	0.0079	6301	0	6301	0.0079	0	0.0079	0	0	NA	NA	5010
	<b>Sub Total (B)(2)</b>		<b>2</b>	<b>6301</b>	<b>0</b>	<b>0</b>	<b>6301</b>	<b>0.0079</b>	<b>6301</b>	<b>0</b>	<b>6301</b>	<b>0.0079</b>	<b>0</b>	<b>0.0079</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>	<b>5010</b>
<b>3</b>	<b>Non-institutions</b>																		
(a)	Individuals			0	0														
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		47086	9228932	0	0	9228932	11.6037	9228932	0	9228932	11.6037	0	11.6037	0	0	NA	NA	8811927
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		25	2827160	0	0	2827160	3.5546	2827160	0	2827160	3.5546	0	3.5546	0	0	NA	NA	2827160
(b)	NBFCs registered with RBI		3	3180	0	0	3180	0.004	3180	0	3180	0.004	0	0.004	0	0	NA	NA	3180
	Trust Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(e)	Any Other (Specify)		3891	10015481	0	0	10015481	12.5927	10015481	0	10015481	12.5927	0	12.5927	0	0	NA	NA	9645197
	IEPF		1	99992	0	0	99992	0.1257	99992	0	99992	0.1257	0	0.1257	0	0	NA	NA	99992
	Trusts		9	43627	0	0	43627	0.0549	43627	0	43627	0.0549	0	0.0549	0	0	NA	NA	43627
	Foreign Nationals		7	26612	0	0	26612	0.0335	26612	0	26612	0.0335	0	0.0335	0	0	NA	NA	10127
	Hindu Undivided Family		1616	745868	0	0	745868	0.9378	745868	0	745868	0.9378	0	0.9378	0	0	NA	NA	745868
	Foreign Companies		28	58220	0	0	58220	0.0732	58220	0	58220	0.0732	0	0.0732	0	0	NA	NA	0
	Non Resident Indians (Non Repat)		330	188249	0	0	188249	0.2367	188249	0	188249	0.2367	0	0.2367	0	0	NA	NA	188249
	Non Resident Indians (Repat)		974	895583	0	0	895583	1.126	895583	0	895583	1.126	0	1.126	0	0	NA	NA	636486
	Unclaimed Shares		1	78066	0	0	78066	0.0982	78066	0	78066	0.0982	0	0.0982	0	0	NA	NA	78066
	Clearing Member		101	148811	0	0	148811	0.1871	148811	0	148811	0.1871	0	0.1871	0	0	NA	NA	148811
	Bodies Corporate		824	7730453	0	0	7730453	9.7197	7730453	0	7730453	9.7197	0	9.7197	0	0	NA	NA	7693971
	Bank Capital Markets Limited	AABCB4140N	1	1741508	0	0	1741508	2.1896	1741508	0	1741508	2.1896	0	2.1896	0	0	NA	NA	1741508
	Dhunseri Ventures Limited	AABCD1597K	1	1003923	0	0	1003923	1.2623	1003923	0	1003923	1.2623	0	1.2623	0	0	NA	NA	1003923
	<b>Sub Total (B)(3)</b>		<b>51005</b>	<b>22074753</b>	<b>0</b>	<b>0</b>	<b>22074753</b>	<b>27.755</b>	<b>22074753</b>	<b>0</b>	<b>22074753</b>	<b>27.755</b>	<b>0</b>	<b>27.755</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>	<b>21287464</b>
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>		<b>51111</b>	<b>36025322</b>	<b>0</b>	<b>0</b>	<b>36025322</b>	<b>45.2954</b>	<b>36025322</b>	<b>0</b>	<b>36025322</b>	<b>45.2954</b>	<b>0</b>	<b>45.2954</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>	<b>35214276</b>

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**Spencer's Retail Limited**

**Annexure to Table III- A- Details of the shareholders acting as persons in Concert for Public**

**Details of the shareholders acting as persons in Concert for Public**

Serial No.	Name of the PAC	No of Shares	Percentage of shareholding by PAC
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NIL



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## Spencer's Retail Limited

Annexure to Table III- B Details of Shares which remain unclaimed for Public along with such details such as number of shareholders, outstanding shares held in unclaimed suspense account, voting rights which are frozen etc.

### Details of Shares which remain unclaimed for Public

Serial No.	Description	Number of Shareholders	Outstanding shares held in demat or unclaimed suspense account
1	Unclaimed Shares in Suspense Account	1	78066



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**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)	(XIII)	(XIV)				
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	



**Spencer's Retail Limited****Table V - Statement showing details of significant beneficial owners**

Sr. No.	Detail of Significant Beneficial Owner - I			Detail of Registered Owner -II			Particulars of shares in which significant beneficial interest is held by beneficial owner -III		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
NIL									

**Note:**

Certain shares of the company held by investment vehicles regulated by the RBI are exempted from the applicability of the Companies (Significant Beneficial Owners) Rules, 2018.



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